

# Open problems session

Nick Andersen and Michael Griffin

Vanderbilt University

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# Partitions mod 2 and 3

Theorem (Ramanujan's Congruences 1919; Hardy-Ramanujan)

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Theorem (Radu (2012))

*No linear congruences exist for partitions modulo 2 or 3.*

## Partitions mod 2 and 3 (continued)

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*Every arithmetic progression contains infinitely many odd and infinitely many even partition values.*

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*Are these infinitely sets of even or odd values actually density  $1/2$ ? Can one even show that the density of all even or odd partition numbers is even positive? (Fundamental barrier:  $X^{\frac{1}{2}+\epsilon}$  odd/even values up to  $X$ ). What can one say about partitions mod 3?*

# A combinatorial realization?

Definition (Dyson 1944)

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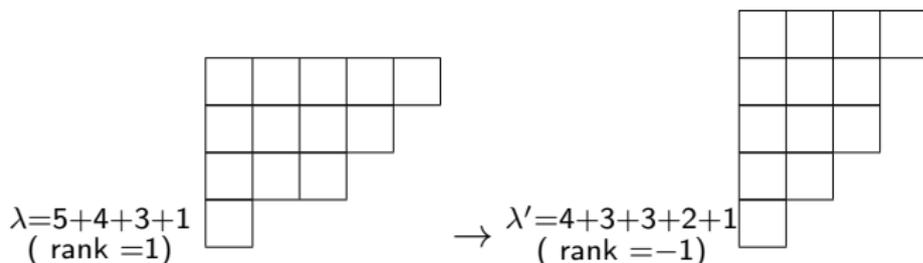
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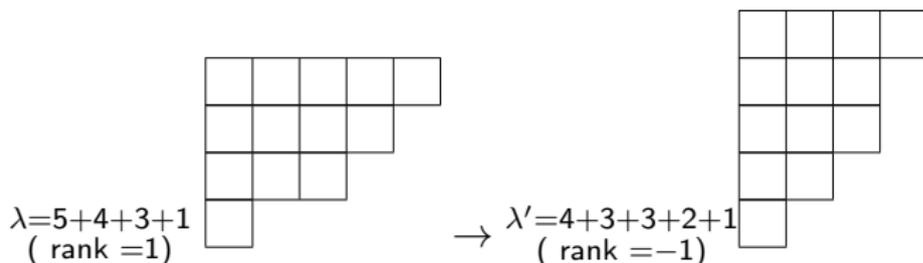


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- $N(m, n) := \#\{\text{ptns of } n \text{ with rank } m\},$   
 $N(m, q; n) := \#\{\text{ptns of } n \text{ with rank } \equiv m \pmod{q}\}.$

# Dyson's Conjecture

Theorem (Conjecture of Dyson 1944, proven by Atkin and Swinnerton-Dyer in 1954)

*We have*

$$N(0, 5; 5n + 4) = N(1, 5; 5n + 4) = \dots = N(4, 5; 5n + 4).$$

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- This “explains” Ramanujan’s congruences mod 5 and 7 using a combinatorial object.

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$$\text{crank}(\lambda) := \begin{cases} \text{largest part of } \lambda & \text{if no 1's in } \lambda, \\ (\# \text{ parts larger than } \# \text{ of 1's}) - (\# \text{ of 1's}) & \text{else.} \end{cases}$$

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Theorem (Andrews-Garvan)

*Cranks “explain” Ramanujan’s congruences mod 5, 7, and 11.*

# Reframing the combinatorial proofs

## Elementary Fact

*The equidistribution for cranks mod  $\ell$  on a progression  $\ell n + \beta$  is equivalent to*

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### Elementary Fact

*The equidistribution for cranks mod  $\ell$  on a progression  $\ell n + \beta$  is equivalent to*

$$\Phi_\ell(\zeta) \parallel [q^{\ell n + \beta}] C(z; \tau).$$

*Here,  $\Phi_\ell$  is the  $\ell$ -th cyclotomic polynomial, and divisibility is as Laurent polynomials.*

# A question of Stanton

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*Ranks and cranks distribute partitions into equinumerous sets. Can we find a **direct bijection**?*

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- Stanton first notes the divisibility  $\Phi_\ell(\zeta) \mid [q^{\ell n + \beta}]R/C(z; \tau)$ .
- If the quotient had *positive* coefficients, he suggested they may count something important.
- This doesn't work directly.

# Stanton's Conjecture

## Definition (Stanton)

The **modified rank** and **crank** are:

$$\mathit{rank}_{\ell,n}^*(\zeta) := \mathit{rank}_{\ell n + \beta} + \zeta^{\ell n + \beta - 2} - \zeta^{\ell n + \beta - 1} + \zeta^{2 - \ell n - \beta} - \zeta^{1 - \ell n - \beta},$$

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where  $\beta := \ell - \frac{\ell^2 - 1}{24}$ .

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## Conjecture (Stanton)

All of the following are Laurent polynomials with non-negative coefficients:

$$\frac{\text{rank}_{5,n}^*(\zeta)}{\Phi_5(\zeta)}, \quad \frac{\text{rank}_{7,n}^*(\zeta)}{\Phi_7(\zeta)}, \quad \frac{\text{crank}_{5,n}^*(\zeta)}{\Phi_5(\zeta)}, \quad \frac{\text{crank}_{7,n}^*(\zeta)}{\Phi_7(\zeta)}, \quad \frac{\text{crank}_{11,n}^*(\zeta)}{\Phi_{11}(\zeta)}.$$

## Result for cranks

Theorem (Bringmann, Gomez, Rolin, Tripp, 2021)

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### Question

*What about for ranks? What do the positive numbers mean for cranks? How does this generalize?*

## Modulo 9 Kanade-Russell conjectures

Conjecture (Kanade-Russell, 2015)

*One of the Kanade-Russell conjectures is*

$$\begin{aligned} & \# \{ \lambda \vdash n : \lambda_i \equiv \pm 1, \pm 3 \pmod{9} \} \\ &= \# \left\{ \lambda \vdash n : \begin{array}{l} \lambda_i - \lambda_{i+1} \leq 1 \Rightarrow \lambda_i + \lambda_{i+1} \equiv 0 \pmod{3} \\ \lambda_i - \lambda_{i+2} \geq 3 \end{array} \right\}. \end{aligned}$$

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*The associated  $q$ -series identity is*

$$\sum_{m, n \geq 0} \frac{q^{m^2 + 3mn + 3n^2}}{(q; q)_m (q^3; q^3)_n} = \frac{1}{(q, q^3, q^6, q^8; q^9)_\infty}.$$

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- *Sum-product identities*

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Remark

- *Sum-product identities*
- *Connection to level 2 affine Lie algebra characters*

# Open $q$ -series questions of Andrews

## Definition

Let

$$v_1(q) := 1 + \sum_{n \geq 1} \frac{q^{\frac{n(n+1)}{2}}}{(-q^2; q^2)_n} = \sum_{n \geq 0} V_1(n)q^n.$$

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- The rank of such a partition is even.
- $V_1(n)$  is the number odd-even partitions of  $n$  with rank  $\equiv 0 \pmod{4}$  minus the number with rank  $\equiv 2 \pmod{4}$ .

# Open $q$ -series questions of Andrews

Conjecture (Andrews, 1986)

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- 3 For  $n \geq 5$  there is an infinite sequence  $N_5 = 293, N_6 = 410, \dots, N_{28} = 7898, \dots$  such that  $V_1(N_n)$ ,  $V_1(N_n + 1)$ , and  $V_1(N_n + 2)$  all have the same sign.

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### Remark

Andrews also gives functions  $v_2(q)$ ,  $v_3(q)$ ,  $v_4(q)$  for which similar conjectures exist.

## Strongly unimodal sequences with fixed rank

### Definition

A sequence of positive integers  $\{a_j\}_{j=1}^s$  is **strongly unimodal of size  $n$**  if it satisfies

- 1  $a_1 < \cdots < a_{k-1} < a_k > a_{k+1} > \cdots > a_s,$
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- The rank of a strongly unimodal sequence is the number of terms after the maximal term minus the number of terms that precede it, i.e. the rank is  $s - 2k + 1$ .
- Let  $u(m, n)$  be the number of strongly unimodal sequences of size  $n$  and rank  $m$ .

## Strongly unimodal sequences with fixed rank

Theorem (Bringmann–Jennings-Shaffer-Mahlburg-Rhoades, 2018)

For a fixed  $m \in \mathbb{N}_0$ ,

$$\begin{aligned} U_m(q) &= \sum_{n \geq 1} u(m, n) q^n \\ &= \frac{q^{\frac{m(m+1)}{2}}}{(q)_\infty} \sum_{n \geq 1} \frac{(-1)^n q^{\frac{n(n+1)}{2} + mn}}{1 - q^{n+m}} (q^{n(n+m)} - 1). \end{aligned}$$

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Corollary

We have the indefinite theta representation

$$\begin{aligned} V_m(q) &= (q)_\infty U_m(q) \\ &= \sum_{n_1, n_2 \geq 0} (-1)^{n_1 + n_2} q^{\frac{1}{2}(n_1 + m + \frac{1}{2})^2 + \frac{3}{2}(n_2 + \frac{1}{2})^2 + 2(n_1 + m + \frac{1}{2})(n_2 + \frac{1}{2})}. \end{aligned}$$

## Strongly unimodal sequences with fixed rank

### Open problem

Determine the (generalized) quantum modular properties of  $U_m(q)$  or  $V_m(q)$ .

# Mock Maass theta functions

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- Example from Ramanujan's Lost Notebook studied by Andrews-Dyson-Hickerson and Cohen:

$$\sigma(q) := \left( \sum_{\substack{n+j \geq 0 \\ n-j \geq 0}} + \sum_{\substack{n+j < 0 \\ n-j < 0}} \right) (-1)^{n+j} q^{\frac{3}{2}(n+1/6)^2 - j^2}.$$

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- Note: If you change signs to replace  $\left( \sum_{\substack{n+j \geq 0 \\ n-j \geq 0}} + \sum_{\substack{n+j < 0 \\ n-j < 0}} \right)$  with  $\left( \sum_{\substack{n+j \geq 0 \\ n-j \geq 0}} - \sum_{\substack{n+j < 0 \\ n-j < 0}} \right)$ , then get (essentially) a sixth order mock theta function of Ramanujan.

## Mock Maass theta functions (cont.)

- Zwegers gave a general construction of “mock Maass theta functions”  $\Phi$ , for these kind of indefinite theta series; when  $q^n$  is replaced by  $e^{2\pi i u x} K_0(2\pi i v n)$  (this makes it have eigenvalue  $1/4$  under  $\Delta_0$ ), then it is “almost” modular.

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- Namely, one can add a special integral to it to “complete” the function to the modular function  $\hat{\Phi}$ . But instead of being an eigenfunction of  $\Delta_0$ , applying  $\Delta_0 - 1/4$  gives you stuff like cusp forms times complex conjugates of cusp forms.

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- In analogy to harmonic Maass forms, “holomorphic” is replaced by “eigenvalue  $1/4$ ”, and the period integrals are replaced with new similar integrals.

## Mock Maass theta functions (cont.)

- In special cases  $\Phi = \hat{\Phi}$  and so  $\Phi$  is modular and has eigenvalue  $\frac{1}{4}$ .

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- In this case results of Lewis-Zagier, Li-Ngo-Rhoades, and Bringmann-Lovejoy-Rolen show how to explicitly take the positive coefficients of  $\Phi$  and construct a quantum modular form  $\Phi^+$ . This is one way to realize  $\sigma(q)$  as a quantum modular form.

## Mock Maass theta functions (cont.)

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### Question

*What else can be done with this theory?*

## Sample place to look

- Sample place to look: 4 families of Maass form “ $q$ -functions” from this theory in Bringmann-Lovejoy-Rolen, including:

$$\sum_{n \geq 0} (q)_n (-1)^n q^{\binom{n+1}{2}} H_n(k, \ell; 0, q),$$

where

$$H_n(k, \ell; b; q) := \sum_{n=n_k \geq n_{k-1} \geq \dots \geq n_1 \geq 0} \sum_{j=1}^{k-1} q^{n_j^2 + (1-b)n_j} \\ \times \left[ \begin{matrix} n_{j+1} - n_j - bj + \sum_{r=1}^j (2n_r + \chi_{\ell > r}) \\ n_{j+1} - n_j \end{matrix} \right]_q.$$

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### General open problem

In the case of Zwegers' construction when  $\Phi \neq \hat{\Phi}$ , determine the generalized quantum modular properties of  $\Phi^+$  and  $\Phi^-$ .

# Higher depth mock modular forms

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*Are there nice combinatorial/ $q$ -hypergeometric examples of higher depth forms?*

# Sums of roots of unity

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*By studying radial limits of mock theta functions as Ramanujan did in his final letter to Hardy, one can find strange identities of sums of roots of unity, like:*

$$\sum_{n=0}^{\frac{k-2}{4}} \frac{\zeta_k^{hn} (-\zeta_k^h; \zeta_k^{2h})_n}{(\zeta_k^h; \zeta_k^{2h})_{n+1}} = i \sum_{n=0}^{\frac{k}{2}-1} \frac{(-1)^{\frac{n(n+1)}{2}} \zeta_k^{hn(n+1)} (\zeta_k^{2h}; -\zeta_k^{2h})_n}{(i\zeta_k^h; -\zeta_k^{2h})_{n+1}^2}.$$

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*Can one prove this directly?*

## Congruences modulo powers of 2

- Ramanujan's mock theta function:

$$\omega(q) = \sum_{n \geq 0} a_{\omega}(n)q^n := \sum_{n \geq 0} \frac{q^{2n(n+1)}}{(q; q^2)_{n+1}^2}.$$

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- From Borcherds' products, Bruinier-Ono define the “sieved log-derivative”  $\tilde{L}_\omega(q) = \sum_{\substack{n \geq 1 \\ (n,6)=1}} \hat{\sigma}_\omega(n) q^n$ , where

$$\hat{\sigma}_\omega(n) := \sum_{d|n} \left(\frac{d}{3}\right) \left(\frac{-8}{n/d}\right) d \cdot a_\omega\left(\frac{2d^2-2}{3}\right).$$

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Theorem (Bruinier-Ono, 2010)

We have that  $\tilde{L}_\omega(q) \equiv \sum_{(n,6)=1} \sigma_1(n) q^n \pmod{512}$ .

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### Theorem (Bryson-Pitman-Ono-Rhoades, Chen-Garvan)

*If  $\ell \equiv 7, 11, 13, 17 \pmod{24}$  is prime with  $\left(\frac{k}{\ell}\right) = -1$ , then for all  $n$ ,  $u(\ell^2 n + k\ell - (\ell^2 - 1)/24) \equiv 0 \pmod{4}$ .*

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